UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No.	47539.35
First Inventor	Satoshi Nishino
Title	Image Recording Apparatus
Express Mail Label No.	EV 339 063 795 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:
Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.

3. ☒ Specification   [*Total Pages* <u>45</u>]
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
  or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (*if filed*)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) (*35 U.S.C. 113*)   [*Total Sheets* <u>6</u>]

5. Oath or Declaration   [*Total Sheets* <u>3</u>]
  a. ☒ Newly executed (original or copy)
  b. ☐ Copy from a prior application (37 CFR 1.63 (d))
    (for a continuation/divisional with Box 18 completed)
    i. ☐ <u>DELETION OF INVENTOR(S)</u>
    Signed statement attached deleting inventor(s)
    named in the prior application, see 37 CFR
    1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or
  Computer Program (*Appendix*)

8. Nucleotide and/or Amino Acid Sequence Submission
  (if applicable, all necessary)
  a. ☐ Computer Readable Form (CRF)
  b.   Specification Sequence Listing on:
    i. ☐ CD-ROM or CD-R (2 copies); or
    ii. ☐ Paper
  c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☒ Assignment Papers (cover sheet & document(s)) (4 pages)

10. ☐ 37 C.F.R. 3.73(b) Statement   ☐ Power of
  (when there is an assignee)   Attorney

11. ☐ English Translation Document *(if applicable)*

12. ☐ Information Disclosure   ☐ Copies of IDS
  Statement (IDS)/PTO-1449   Citations

13. ☐ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503)
  (Should be specifically itemized)

15. ☒ Certified Copy of Priority Document(s)
  (if foreign priority is claimed): JP 2003-120056 &
    JP 2003-120067

16. ☐ Nonpublication Request under 35 U.S.C. 122
  (b)(2)(B)(i). Applicant must attach form PTO/SB/35
  or its equivalent.

17. ☐ Other: _____

18. If a CONTINUING APPLICATION, *check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:*

☐ Continuation   ☐ Divisional   ☐ Continuation-in-part (CIP)   of prior application No: _____ / _____

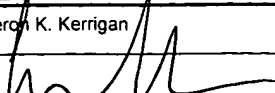*Prior application information:*   Examiner _____   Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number   OR ☒ Correspondence address below

Name	Cameron K. Kerrigan
Address	Squire, Sanders & Dempsey L.L.P.
	1 Maritime Plaza, Suite 300

City	San Francisco	*State*	CA	*Zip Code*	94111
Country	USA	*Telephone*	(415) 954-0200	*Fax*	(415) 393-9887

Name (Print/Type)	Cameron K. Kerrigan	*Registration No. (Attorney/Agent)*	44,826
Signature		*Date*	April 15, 2004

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL
for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT | ($) 810.00

Complete If Known	
Application Number	New
Filing Date	Herewith
First Named Inventor	Satoshi Nishino
Examiner Name	Unassigned
Art Unit	Unassigned
Attorney Docket No.	47539.35

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: 07-1850

Deposit Account Name: Squire, Sanders & Dempsey L.L.P.

The Director is authorized to: *(check all that apply)*
☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, **except for the filing fee** to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity			
Fee Code	Fee ($)	Fee Code	Fee ($)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	770
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filling fee	

SUBTOTAL (1) | ($) 770.00

2. EXTRA CLAIM FEES

	Extra Claims		Fee from below		Fee Paid	
Total Claims	20	-20 **	= 0	X 18	= 0	
Independent Claims	2	-3 **	= 0	X 86	= 0	
Multiple Dependent	0			X 0	= 0	

Large Entity		Small Entity		
Fee Code	Fee ($)	Fee Code	Fee ($)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) | ($) 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity			
Fee Code	Fee ($)	Fee Code	Fee ($)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	205	Extension for reply within second month	
1253	950	2253	465	Extension for reply within third month	
1254	1,480	2254	725	Extension for reply within fourth month	
1255	2,010	2255	985	Extension for reply within fifth month	
1401	330	2401	160	Notice of Appeal	
1402	330	2402	160	Filing a brief in support of an appeal	
1403	290	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive – unavoidable	
1453	1,300	2453	650	Petition to revive – unintentional	
1501	1,330	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40
1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid **SUBTOTAL (3)** | ($)40.00

SUBMITTED BY		Complete *(if applicable)*	
Name (Print/Type)	Cameron K. Kerrigan	Registration No. Attorney/Agent	44,826
		Telephone	(415) 954-0200
Signature		Date	April 15, 2004